## JC14 Boc'd PCT/PTO 2 8 SEP 2001

SEP 2 8 2001 & TRADE TRANSMITTAL LETTER TO THE UNITED ST ATTORNEY'S DOCKET NUMBER 0050/50721

09/937815

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE 05 OCT 2000

PRIORITY DATE CLAYMED March 25, 2000

PCT/EP 00/02660 TITLE OF INVENTION: OLIGOMERIZATION CATALYST

APPLICANT(S) FOR DO/EO/US Heiko MAAS; Shahram MIHAN; Randolf KÖHN; Guido SEIFERT; Jürgen TROPSCH

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other informations

- 1. /X/ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. / This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. /X/ This express request to begin national examination procedures (35 U.S.C.371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. / A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. /K/ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau. a./X/
  - b./ / c./ / is not required, as the application was filed in the United States Receiving Office (RO/USO).
- 6. /X/ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. /X/ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a./X/ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b.// have been transmitted by the International Bureau
    - have not been made; however, the time limit for making such amendments has NOT expired. d.// have not been made and will not be made.
- 8. /X/ A translation of the amendments to the claims under PCT Article 19(35 U.S.C. 371(c)(3)).
- 9. /X/ An oath or declaration of the inventor(s)(35 U.S.C. 171(c)(4)).
- 10./ / A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- "Items 11. to 16. below concern other document(s) or information included:
- 11./X/ An Information Disclosure Statement under 37 CEP 1.97 and 1.98.
- 12./X/ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13./X/ A FIRST preliminary amendment.
  // A SECOND or SUBSEQUENT preliminary amendment.
- 14.// A substitute specification.
- 15./ / A change of power of attorney and/or address letter.
- 16./X/ Other items or information. International Search Report
  - International Preliminary Examination Report

	• • •				09/93781
<u>"n 9*/ 9·37 81·5</u>	INTERNATIONAL APPLN. NO. PCT/EP 00/02660		ATTORNEY'S I	OCKET NO.	
17. /X/ The following fees are	submitted	CALC	JLATIONS		PTO USE ONLY
BASIC NATIONAL FEE (37 CFR 1.49) Search Report has been prepared					
EPO or JPO	\$86	0.00	860.00	1	
International preliminary exami: (37 CFR 1.482)		0.00		I	
No international preliminary ex USPTO (37 CFR 1.482) but intern to USPTO (37 CFR 1.445(a)(2))	ational search fee paid	0.00		1	
Neither international prelimina (37 CFR 1.482) nor internationa (37 CFR 1.445(a)(2)) paid to US	l search fee	.00		1	
International preliminary exami USPTO (37 CFR 1.482) and all cl -visions of PCT Article 33(2)-(	aims satisfied pro	0.00		I	
ENTER APPROPRI	ATE BASIC FEE AMOUNT =	s	860.00		
Surcharge of \$130.00 for furnis	hing the oath or declarati onths from the earliest	on.			
Claims Number Filed	Number Extra	Rate			
Total Claims -20		X\$18.		- 1	
Indep-Claims -3		X\$80. +270.			
Multiple dependent claim(s)(if TOTAL OF ABOVE		+270.			
Reduction of % for filing by sm					
Verified Small Entity statement					
(Note 37 CFR 1.9, 1.27, 1.28).					
Processing fee of \$130. for fur	SUBTOTAL		860.00		
translation later than / /20 / earliest claimed priority date	/30 months from the			1	
TOTAL NATIONAL	PEE	-	860.00		
Fee for recording the enclosed The assignment must be accompan sheet (37 CFR 3.28, 3.31) \$40.0	ied by an appropriate cove		40.00	4	
TOTAL FEES ENC		= \$	900.00		
	Amount refunde		\$		
	Charge		\$		
a./X/ A check in the amount	of \$900.00 to cover the ab	ove fees	is enclosed.		
b.// Please charge my Deposit fees. A duplicate cop	Account No y of this sheet is enclose	_in the a	amount of \$		_ to cover the above
c./X/ The Commissioner is he any overpayment to Dep	reby authorized to charge osit Account No. $11-0345$ .	any addi: A dupli	ional fees w	nich may be this sheet	required, or credit is enclosed.
NOTE: Where an appropriate tim CFR 1.137(a) or (b) must be fil					
SEND ALL CORRESPONDENCE TO:			-form	when	SIGNATURE
KEIL & WEINKAUF 1101 Connecticut Ave., N.W.		Herber	t B. Keil		
Washington, D. C. 20036		NAME 18,967			
		Regist	ration No.		

SEP 2 8 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)
MAAS et al.	BOX PCT
PCT/EP 00/02660 Intl. Filing Date: March 25, 2000	)
US Serial No.: TO BE ASSIGNED	)
For: OLIGOMERIZATION CATALYST	)
Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231	

## PRELIMINARY AMENDMENT

Sir:

Prior to examination of the above-identified U.S. National Stage application, kindly amend the application as follows.

### CLEAN VERSION OF ALL CLAIMS

- 1. An oligomerization catalyst for olefins, obtainable from
- a) a chromium compound CrX<sub>3</sub> and the at least equimolar amount, based on the chromium compound CrX<sub>3</sub>, of a ligand L or from an existing chromium complex CrX<sub>3</sub>L, in which the groups X are, independently of one another, abstractable counterions and L is a 1,3,5-triazacvclohexane of the formula I

where the groups  $R^1$  to  $R^9$  are, independently of one another: hydrogen or organosilicon or substituted or unsubstituted carboorganic groups having from 1 to 30 carbon atoms, where two geminal or vicinal radicals  $R^1$  to  $R^9$  may also be joined to form a five- or six-membered ring, and

- b) at least one activating additive from the group:
  - an unsubstituted or substituted five-membered aromatic N-heterocycle and at least one aluminum alkyl, some of whose alkyl groups may have been replaced by halogen and/or alkoxy,

#### MAAS et al. et al., OZ 0050/50721

- ii) an alkylalumoxane.
- 2. An oligomerization catalyst as claimed in claim 1, wherein the groups  $R^1$ ,  $R^2$  and  $R^3$  in the 1,3,5-triazacyclohexane I are, independently of one another, substituted or unsubstituted  $C_1-C_{12}$ -alkyl,  $C_4-C_{14}$ -aryl or  $C_7-C_{24}$ -arylalkyl.
- 3. An oligomerization catalyst as claimed in claim 1, wherein the groups  $R^1$ ,  $R^2$  and  $R^3$  in the 1,3,5-triazacyclohexane I are, independently of one another, substituted or unsubstituted  $C_1-C_{12}$ -alkyl or  $C_2-C_3$ -arylalkyl.
- 4. (amended) An oligomerization catalyst as claimed in claim 1, wherein the groups  $R^4$ ,  $\mathring{R}$ ,  $^6R$ ,  $^7$ ,  $^8$ ,  $^8$ ,  $^8$  and  $^9$  in the 1,3,5-triazacyclohexane I are, independently of one another, hydrogen or methyl.
  - 5.[(1,3,5-Tris(2-n-propylheptyl)-1,3,5-triazacyclohexane)CrCl<sub>3</sub>].
  - 6.  $[(1,3,5-Tris(2-ethylhexyl)-1,3,5-triazacyclohexane)CrCl_3]$ .
- 7. (amended) A process for preparing oligomers having up to 30 carbon atoms by reaction of an olefin or a mixture of olefins at from 0 to  $150^{\circ}$ C and pressures of from 1 to 200 bar in the presence of an oligomerization catalyst as claimed in claim 1.

MAAS et al. et al., OZ 0050/50721

### MARKED-UP VERSION OF AMENDED CLAIMS

- 4. (amended) An oligomerization catalyst as claimed in [any of claims 1 to 3] claim 1, wherein the groups  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  in the 1,3,5-triazacyclohexane I are, independently of one another, hydrogen or methyl.
- 7. (amended) A process for preparing oligomers having up to 30 carbon atoms by reaction of an olefin or a mixture of olefins at from 0 to 150°C and pressures of from 1 to 200 bar in the presence of an oligomerization catalyst as claimed in [any of claims 1 to 6] claim 1.

#### REMARKS

The claims in the application are claims 1-7 as shown on the "AMENDED SHEETS," which reflects an English translation of the amendments made in the international stage. The claims have been amended to eliminate multiple dependency and to place them in better form for U.S. practice. Favorable action on the application is solicited.

Respectfully submitted,

KEIL & WEINKAUF

Herbert B. Keil Reg. No. 18,967

1101 Connecticut Avenue, N.W.

Washington, D.C. 20036

(202) 659-0100

HBK/kas

25

## JC05 Rec'd PCT/PTO 2 8 SFP 2001

### Oligomerization catalyst

The present invention relates to an oligomerization catalyst for 5 olefins, obtainable from

a) a chromium compound CrX<sub>3</sub> and the at least equimolar amount, based on the chromium compound CrX<sub>3</sub>, of a ligand L or from an existing chromium complex CrX<sub>3</sub>L, in which the groups X are, independently of one another, abstractable counterions and L is a 1,3,5-triazacyclohexane of the formula I

I

where the groups R<sup>1</sup> to R<sup>9</sup> are, independently of one another:
hydrogen or organosilicon or substituted or unsubstituted
carboorganic groups having from 1 to 30 carbon atoms, where
two geminal or vicinal radicals R<sup>1</sup> to R<sup>9</sup> may also be joined to
form a five- or six-membered ring, and

b) at least one activating additive.

The present invention further relates to a process for preparing oligomers of olefins using the novel catalysts, to the oligomers 30 obtainable in this way and to the oxo alcohols obtainable from these oligomers.

Olefin oligomers having up to 30 carbon atoms have great economic importance as copolymers [sic] for plastics (e.g. 1-hexene) or as 35 precursors of oxo alcohols (e.g. 1-hexene and the decenes and tetradecenes), where the latter are in turn constituents of surfactants and plasticizers for plastics. In an integrated chemical production complex, oligomerization processes are a central step from the industrial olefin streams coming, for 40 example, from the steam crackers to products used in daily life.

The use of catalysts comprising compounds of chromium, amines and aluminum compounds in the oligomerization of  $\alpha$ -olefins is generally known:

According to EP-A 780 353, olefins can be oligomerized, in particular trimerized, in the presence of a chromium source, a pyrrole-containing compound and a metal alkyl. However, the pretreatment of the catalyst is associated with a loss of active 5 constituents.

DE-A 196 07 888 discloses an oligomerization catalyst for  $\alpha$ -olefins which comprises a chromium compound and an aluminum compound together with at least one nitrogen-containing compound 10 which may be a pyrrole. Here too, the catalyst pretreatment as described in EP-A 780 353 causes considerable losses.

EP-A 537 609 teaches a process in which ethylene is reacted in the presence of a chromium complex having a coordinated 15 polydentate ligand and an aluminoxane to give a mixture of  $\alpha$ -olefins having a relatively high proportion of 1-hexene. However, owing to the low catalyst activity together with a low trimerization selectivity, the economics of the process described are unsatisfactory.

Experiments on the selective trimerization of ethylene to 1-hexene using an N,N,N-trioctyltriazacyclohexane-chromium complex and methylaluminoxane were reported at the 215th ACS National Meeting, March 29 - April 2, 1998 in Dallas, Texas.

25 However, the catalyst has only moderate activity and it also

5 However, the Catalyst has only moderate activaty and it also leads to considerable amounts of polymeric products, which is a disadvantage for the economics of the process.

It is an object of the present invention to provide stable 30 catalysts which can be obtained more inexpensively and have improved activity and selectivity in respect of low molecular weight oligomers of olefins.

We have found that this object is achieved by the oligomerization 35 catalysts mentioned at the outset.

We have also found a process for preparing oligomers of olefins using the novel catalysts. The invention further provides the oligomers obtainable in this way and the oxo alcohols obtainable 40 from these oligomers.

The oligomerization catalysts of the present invention makes it possible to obtain oligomers of olefins in high yields and with a small proportion of by-products whose molar mass  $M_W$  is greater 45 than 500. In particular, the catalyst has a high selectivity in respect of the trimerization of  $\alpha$ -olefins and especially ethene.

Triazacyclohexane and its derivatives, which differ in terms of different substitution patterns on the ring atoms, have been known for a long time and are used in a variety of ways in industry, since they can usually be prepared from readily

- 5 available starting materials in a simple and inexpensive manner. Thus, triazacyclohexane derivatives are used, for example, in the desulfurization of kerosene. However, the use of triazacyclohexane and its derivatives as ligands in the preparation of organic metal complexes is not very widespread.
- 10 Only a few complexes containing these ligands have been described in the literature, for example in J. Chem. Soc., Dalton Trans. (1997), 1363-1368; Z. Naturforsch., part B50 (1995), 1038-1043; Angew. Chem. Int. Ed. Engl. 33 (1994), 1877-1878; J. Organomet. Chem. 501 (1995), 303-307; Chem. Ber. 129 (1996), 25-27; J.
- 15 Organomet. Chem. 520 (1996), 121-129; Inorg. Chem. 36 (1997), 6064-6069; Chem. Ber. 129 (1996), 1327-1333.

Varying the substituents on the 1,3,5-triazacyclohexane ring allows the properties of the catalyst of the present invention to

- 20 be influenced. Thus, the catalyst activity can normally be increased by substituents, in particular on the nitrogen atoms. The number and geometry of the substituents additionally enables the accessibility of the central atom to the  $\alpha$ -olefins which are to be reacted and thus also the selectivity of the reaction in
- 25 respect of various starting olefins to be controlled. The chemical structure of the substituents R<sup>1</sup> to R<sup>9</sup> can therefore be varied within a wide range in order to obtain a catalyst tailored to the particular reaction.
- 30 Possible substituted or unsubstituted carboorganic groups on the 1,3,5-triazacyclohexane ring are, for example:
- C<sub>1</sub>-C<sub>18</sub>-alkyl, preferably C<sub>1</sub>-C<sub>12</sub>-alkyl, e.g. methyl, ethyl, N,N-dimethylaminoethyl, n-propyl, i-propyl, butyl, pentyl, hexyl, 2-ethylhexyl, heptyl, octyl, nonyl, decyl, dodecyl, 1,1-dimethyldodecyl,
- 5- to 7-membered cycloalkyl which may in turn bear a  $C_1-C_{10}$ -aryl group as substituent, e.g. cyclopentyl and cyclohexyl,
  - C<sub>6</sub>-C<sub>15</sub>-aryl such as phenyl, o-tolyl, p-tolyl, m-tolyl,
     1-naphthyl and 2-naphthyl or
- $\bf 45$   $C_6-C_{15}-arylalkyl,$  preferably  $C_6-C_8-arylalkyl$  such as benzyl and 1-phenylethyl.

Possible organosilicon groups are, for example: trialkylsilyl groups having from 1 to 10 carbon atoms in identical or different alkyl radicals, in particular trimethylsilyl groups.

- 5 Substituents in the C-organic groups or Si-organic groups on the 1,3,5-triazacyclohexane ring may in particular be alkyl groups with donor groups. The donor group may be neutral or anionic and may contain a heteroatom from groups 15-16 of the periodic system (according to IUPAC proposal 1985) or may be a carbanion. If it
- 10 is neutral, it may be coordinately bonded to the chromium. Preferably it is coordinated to the chromium. If the donor is formally anionic, then it is covalently bonded to the metal center. The bonds may be intramolecular or intermolecular; preferably, they are intramolecular. Preference is given to
- 15 neutral donors containing oxygen and/or nitrogen atoms which have free electron pairs, it also being possible for the oxygen and/or nitrogen atoms to have been inserted into an alkyl chain.

Preference is given to 1,3,5-triazacyclohexane ligands in which 20 the groups  $R^1$ ,  $R^2$  and  $R^3$  are, independently of one another, substituted or unsubstituted  $C_1-C_{12}$ -alkyl,  $C_6-C_{15}$ -aryl or  $C_6-C_3$ -arylalkyl, in particular substituted or unsubstituted  $C_1-C_{12}$ -alkyl or  $C_6-C_8$ -arylalkyl, e.g. methyl, ethyl, N.-dimethylaminoethyl, n-propyl, n-butyl, tert-butyl, hexyl, 25 octyl, dodecyl, 1,1-dimethyldodecyl, 1-phenylethyl.

In the process of the present invention, particular preference is given to using 1,3,5-triazacyclohexane ligands in which the groups R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup>, R<sup>7</sup>, R<sup>8</sup> and R<sup>9</sup> are, independently of one another, 30 hydrogen or C<sub>1</sub>-C<sub>4</sub>-alkyl, in particular hydrogen or methyl.

Preferred 1,3,5-triazacyclohexanes are 1,3,5-tri-tert-butyl-1,3,5-triazacyclohexane, 1,3,5-triethyl-1,3,5-triazacyclohexane,

- 35 1,3,5-tris(1-phenylethyl)-1,3,5-triazacyclohexane,
  1,3,5-tris(1,1-dimethyldodecyl)-1,3,5-triazacyclohexane and
  1,3-di-n-dodecyl-5-[2-(N,N-dimethylamino)ethyl]-1,3,5-triazacyclohexane and particularly preferably 1,3,5-tri-n-octyl-1,3,5-triazacyclohexane, 1,3,5-tri-n-dodecyl-1,3,5-triazacyclohexane,
- 40 1,3,5-tribenzyl-1,3,5-triazacyclohexane,
  - 1,3,5-tris(2-ethylhexyl)-1,3,5-triazacyclohexane,
    1,3,5-tris(2-n-propylheptyl)-1,3,5-triazacyclohexane.
- The 1,3,5-triazacyclohexanes of the formula I in which the groups  $845 \text{ R}^4$  to  $8^3$  are hydrogen and the groups  $8^1$  to  $8^3$  are identical can be prepared in a manner known per se, for example by reacting primary amines of the  $8^1\text{NH}_2$  type with formaldehyde or

20

25

paraformaldehyde. Correspondingly, the 1,3,5-triazacyclohexanes, which bear one methyl group and one hydrogen atom on each of the carbon atoms of the ring are obtainable via acetaldehyde.

- 5 The 1,3,5-triazacyclohexanes of the formula I in which at least one of the radicals R¹, R² and R³ is different from the other radicals of this set can also be prepared in a manner known per se (cf., for example, Beilstein, "Handbook of Organic Chemistry", 4th Ed., Vth Suppl. Series, Springer-Verlag, Berlin, Vol. 26
- 10 (1986) 3 ff. and the references cited therein; R = octyl: J.
  Polym. Sci., Polym. Chem. Ed. 329 (1993), 1941-1958; J. Prakt.
  Chem. 327 (1985), 739-748; EP-A 620 266; DE-A 24 31 862; DE-A 41
  00 856; Pharmazie 30 (1975), 699-706). A few of the known
  preparative methods are briefly outlined here by way of example:
  - 1) The reaction of a mixture of two primary amines  $(R^1NE_2)$  and  $R^2NE_2)$  with formaldehyde (as aqueous solution or paraformaldehyde) leads to a mixture of various products which can be separated as follows:
    - a) Distillation in the case of sufficiently small  $\mathbb{R}^1$  and  $\mathbb{R}^2$ .
    - b) Carrying out the reaction using a large excess of the amine RINH2, if the symmetrical reaction product is capable of being distilled off. After the distillation, the unsymmetrical product then remains.
      - Selective crystallization of one product.
- 30 d) Complexation of the mixture of the 1,3,5-triazacyclohexanes with the chromium compound, for instance CrCl<sub>3</sub>, and separation of the chromium complexes obtained, for instance by column chromatography.
- 35 2) Reaction of an amine R<sup>1</sup>NH<sub>2</sub> with an excess of formaldehyde to give a mixture of symmetrically substituted 1,3,5-triazacyclohexane and the corresponding 1-oxa-3,5-diazacyclohexane: in a second step, the 1-oxa-3,5-diazacyclohexane is reacted with an amine R<sup>2</sup>NH<sub>2</sub> (if desired in the presence of an acid catalyst), resulting in the ring oxygen being replaced by an R<sup>2</sup>N.

25

The product mixture can be separated as described under 1).

Reaction of a symmetrical 1,3,5-triazacyclohexane having a small R¹ (methyl or ethyl) at about 130°C with an amine R²NH₂.
 At this temperature, R¹NH₂ is given off and a mixture of unsymmetrical 1,3,5-triazacyclohexanes is formed.

The separation can be carried out as described under 1).

 Reaction of two different symmetrical
 1,3,5-triazacyclohexanes with one another, resulting in substituent exchange. The products can be separated as described under 1).

Suitable groups X in the chromium compounds CrX3 or the chromium 35 complexes CrX3L are any abstractable counterions that are suitable for this purpose in organometallic chemistry, in particular

- halogen such as fluorine, bromine, iodine and especially chlorine,
- 40 tosylate, triflate, tetrafluoroborate, hexafluorophosphate, hexafluoroantimonate, tetraphenylborate,
  - C<sub>1</sub>- to C<sub>10</sub>-carboxy, especially 2-ethylhexanoate,
  - alkyl groups, for example methyl, ethyl, i-propyl, phenyl benzyl,
- 45 bulky noncoordinating anions such as B(C6F5)4-.

The groups X are selected in particular such that the chromium compounds CrX<sub>3</sub> or chromium complexes CrX<sub>3</sub>L in which they are present possess good solubility in the particular solvent used.

- 5 As starting materials for the chromium compounds CrX<sub>3</sub> and the chromium complexes CrX<sub>3</sub>L, use is made of, for instance, chromium halides such as CrCl<sub>3</sub>, CrBr<sub>3</sub>, Cr(triflate)<sub>3</sub>, Cr(III) alkoxylates such as the 2-ethylhexanoate and also complexes of these chromium compounds with weakly bound, neutral complexing ligands which may
- 10 be displaced by the 1,3,5-triazacyclohexane and possibly by the five-membered aromatic N-heterocycle, e.g. ether complexes such as CrCl<sub>3</sub>(tetrahydrofuran), CrCl<sub>3</sub>(dioxane)<sub>3</sub>, ester complexes such as CrCl<sub>3</sub>(n-butyl acetate), CrCl<sub>3</sub>(ethyl acetate), alcohol complexes such as CrCl<sub>3</sub>(i-propanol)<sub>3</sub>, CrCl<sub>3</sub>(2-ethylhexanol)<sub>3</sub>, amine complexes such as CrCl<sub>3</sub>(pyridine)<sub>3</sub>, CrCl<sub>3</sub>(i-propylamine)<sub>2</sub>, or nitrile complexes such as CrCl<sub>3</sub>(acetonitrile)<sub>3</sub>, acetonitrile.

In a preferred embodiment of the process of the present invention, use is made of oligomerization catalysts which have 20 been prepared using chromium complexes CrX<sub>3</sub>L which have been prepared and isolated beforehand in-house.

The chromium complexes CrX<sub>3</sub>L are moreover obtainable by methods known to those skilled in the art or by methods analogous to 25 these (cf., for example, W. A. Herrmann, A. Salzer: "Synthetic Methods of Organometallic and Inorganic Chemistry", Vol. 1 - Literature, Laboratory Techniques, and Common Starting Materials, Thieme Verlag, Stuttgart, 1996).

- 30 The preparation of the chromium complexes CrX<sub>3</sub>L in situ is generally carried out by dissolving or suspending the chromium compound CrX<sub>3</sub> in the reaction medium and adding the 1,3,5-triazacyclohexane either as such or in dissolved form.
- 35 In the oligomerization process of the present invention, preference is given to using chromium complexes CrX<sub>3</sub>L in which X and L have the following meanings:
- X are, independently of one another: halogen, tosylate, 40 triflate, alkyl,
  - L is a 1,3,5-triazacyclohexane of the formula I, in which the groups R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup>, R<sup>7</sup>, R<sup>8</sup> and R<sup>9</sup> are, independently of one another, hydrogen or C<sub>1</sub>-C<sub>4</sub>-alkyl, in particular hydrogen or
- 45 methyl, and in which R<sup>1</sup>, R<sup>2</sup> and R<sup>3</sup> are, independently of one another, methyl, ethyl, N,N-dimethylaminoethyl, propyl,

0

n-butyl, tert-butyl, hexyl, octyl, dodecyl, 1,1-dimethyldodecyl or (1-phenyl)ethyl.

Particular preference is given to using chromium complexes  $CrX_3L$ , 5 in which X and L have the following meanings:

- X are, independently of one another: chlorine, tosylate,
- L is a 1,3,5-triazacyclohexane of the formula I, in which the groups R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup>, R<sup>7</sup>, R<sup>8</sup> and R<sup>9</sup> are, independently of one another, hydrogen or methyl and in which R<sup>1</sup>, R<sup>2</sup> and R<sup>3</sup> are, independently of one another, methyl, ethyl, N,N-dimethylaminoethyl, propyl, n-butyl, tert-butyl, hexyl, octyl, dodecyl, 1,1-dimethyldodecyl or 1-phenylethyl.
- In one preferred embodiment of the process of the invention, the activating additive is composed of an unsubstituted or substituted five-membered aromatic N-heterocycle and at least one aluminum alkyl, some of whose alkyl groups may have been replaced 20 by halogen and/or alkoxy.
- Suitable five-membered aromatic N-heterocycles are ones having 1, 2, 3 or 4, preferably 1 or 2, nitrogen atoms in a five-membered aromatic ring. The five-membered aromatic N-heterocycles may be substituted on the ring carbons by groups which are inert under the reaction conditions, e.g. alkyl groups, preferably methyl and/or ethyl, or two adjacent carbon atoms of the five-membered aromatic N-heterocycle may together be part of a fused-on aromatic carbocyclic system which may in turn bear inert groups.
- 30 Examples of such N-heterocycles are unsubstituted and substituted pyrroles, pyrazoles, imidazoles, triazoles and tetrazoles, e.g. pyrrole, 2,5-dimethylpyrrole, indole, carbazole, pyrazole, indazole, imidazole, benzimidazole. Preference is given to using pyrroles and, in particular, alkyl-substituted pyrroles,
- 35 especially 2,5-dimethylpyrrole.

As aluminum alkyl, in which some of the alkyl groups may be replaced by halogen and/or alkoxy, it is possible to use aluminum alkyls of the formulae  $AlR_3$ ,  $AlR_2Hal$ ,  $AlRHal_2$ ,  $AlR_2OR'$ , AlRHalOR'

- 40 and Al<sub>2</sub>R<sub>3</sub>Hal<sub>3</sub> and mixtures thereof, where R and R' are each, independently of one another, methyl, ethyl or a straight-chain or branched C<sub>3</sub>-C<sub>8</sub>-alkyl group and Hal is fluorine, bromine, iodine or especially chlorine, for example trimethylaluminum, triethylaluminum, tri-ir-n-propylaluminum, trie-iso-propylaluminum,
- 45 tributylaluminum, diethylaluminum chloride, diethylaluminum bromide, diethylaluminum ethoxide, diethylaluminum phenoxide, ethylaluminum ethoxide chloride. Preference is given to using

20

25

40

aluminum alkyls of the  $AlR_3$  and  $AlRHal_2$  type, with triethylaluminum or a mixture of triethylaluminum and ethylaluminum dichloride being particularly preferred.

5 As an alternative to aluminum alkyls in which some of the alkyl groups are replaced by halogen and/or alkoxy, it is also possible to use mixtures of the corresponding aluminum alkyls and suitable cocatalysts, from which the desired mixed aluminum compounds are formed in situ in the reactor.

Suitable cocatalysts are alkyl halides, alkylsilicon halides and Lewis-acid metal halides, preferably n-butyl chloride, n-butyl iodide, trimethylsilyl chloride, trimethylsilyl bromide, tin tetrachloride, germanium chloride and especially n-butyl bromide.

In the system comprising aluminum alkyl, some of whose alkyl groups may be replaced by halogen and/or alkoxy, and cocatalyst, the two components are present in a molar ratio of from 1:3 to 30:1, preferably from 1:1 to 15:1.

In the process of the present invention, the amount of chromium compound CrX3 or chromium complex CrX3L is normally in the range from 1 x  $10^{-7}$  to 1 mol, preferably from 1 x  $10^{-6}$  to 0.1 mol and in particular from 1 x  $10^{-6}$  to 0.01 mol per kg of reaction mixture.

The amount of five-membered aromatic N-heterocycle is normally in the range from 1 x  $10^{-8}$  to 100 mol, preferably from 1 x  $10^{-7}$  to 1 mol and in particular from 1 x  $10^{-5}$  to 0.05 mol per kg of reaction mixture.

The amount of aluminum alkyl in which some of the alkyl groups may be replaced by halogen and/or alkoxy is normally in the range from 1 x  $10^{-8}$  to 500 mol, preferably from 1 x  $10^{-7}$  to 10 mol and in particular from 5 x  $10^{-5}$  to 0.5 mol, per kg of reaction 35 mixture.

In the process of the present invention, the molar ratio of the components (a), (b) and (c) is 1:0.1-100:0.1-500, preferably 1:0.1-10:1-100 and in particular 1:1-5:5-50.

Very particular preference is given to a catalyst consisting of (a) (1,3,5-tri-n-octyl-1,3,5-triazacyclohexane)CrCl<sub>3</sub> or (1,3,5-tribenzyl-1,3,5-triazacyclohexane)CrCl<sub>3</sub>, (b) 2,5-dimethylpyrrole and (c) triethylaluminum and ethylaluminum 45 dichloride, where these components are present in a molar ratio

45 dichloride, where these components are present in a molar ratio of 1: 0.1-10: 0.1-100, preferably 1: 1-5: 5-50. The molar ratio of triethylaluminum and ethylaluminum dichloride in component (c) is 1-50:1, preferably 3-20:1.

The oligomerization is preferably carried out in a solvent. 5 Solvents which can be used for the oligomerization are straight-chain, branched or alicyclic saturated hydrocarbons having from 1 to 20 carbon atoms, e.g. butane, pentane, 3-methylpentane, hexane, heptane, 2-methylhexane, octane, cyclohexane, methylcyclohexane, 2,2,4-trimethylpentane, or

- 10 decalin, straight-chain or branched halogenated hydrocarbons such as dichloroethane, aromatic hydrocarbons such as benzene, toluene, xylene, ethylbenzene, mesitylene, or tetralin and the oligomeric reaction products which are liquid under the reaction conditions, e.g. 1-hexene itself. The solvents can be used either
- 15 individually or as mixtures.

Preferred oligomerization catalysts in accordance with the invention are also those comprising an alkylalumoxane as the activating additive.

20

In this context, very particular preference is given to those ligands L in which the groups R1, R2 and R3 in part or in whole, and independently of one another, are a group which carries a substituent attached via a carbon atom, in particular an alkyl,

- 25 aryl or silvl group, in the  $\beta$  position or in a position even more remote from the nitrogen atom of the 1,3,5-triazacyclohexane ring. With particular preference, this substituent is in the  $\beta$ position. With further particular preference, this substituent is a  $\beta$ -alkyl substituted alkyl, especially 2-ethylhexyl or
- 30 2-n-propylheptyl. Among these ligands L, very particular preference in turn is given to those in which the groups R4, R5,  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  are hydrogen.
- Suitable alkylalumoxanes are known, for example, from DE-A 30 07 35 725, their structures being largely unelucidated. They are products of the careful partial hydrolysis of aluminum alkyls (cf. DE-A 30 07 725). These products are evidently not present in their pure form but are instead mixtures of open-chain and cyclic structures of type IIa and IIb which are presumably in dynamic
- 40 equilibrium with one another.

5

In the formulae IIa and IIb, the groups R<sup>10</sup> are identical or different and independently of one another are C<sub>1</sub>-C<sub>12</sub>-alkyl such as methyl, ethyl, n-propyl, i-propyl, n-butyl, i-butyl, 15 sec-butyl, tert-butyl, n-pentyl, i-pentyl, sec-pentyl, neopentyl, l<sub>2</sub>-dimethylpropyl, i-amyl, n-hexyl, i-hexyl, sec-hexyl,

- 1,2-dimethylpropyl, i-amyl, n-hexyl, i-hexyl, sec-hexyl, n-heptyl, i-heptyl, n-octyl, n-nonyl, n-decyl, and n-dodecyl; preferably C<sub>1</sub>-C<sub>6</sub>-alkyl such as methyl, ethyl, n-propyl, i-propyl, n-butyl, i-butyl, sec-butyl, tert-butyl, n-pentyl, i-pentyl,
- 20 sec-pentyl, neopentyl, 1,2-dimethylpropyl, i-amyl, n-hexyl, i-hexyl, sec-hexyl, with methyl being particularly preferred. m is an integer from 0 to 40, preferably from 0 to 25, and with particular preference from 0 to 22.
- 25 In the literature, cagelike structures for alumoxanes are also discussed (cf. Organometallics 1996, 15, pages 2213-26; Macromol. Symp. 1995, 97, pages 15-25).
- The alkylalumoxanes are effective as activating additives in the 30 context of the present invention independently of their structural constitution.

The amount of the activating alkylalumoxane used is dependent on its nature. The ratio of chromium compound CrX<sub>3</sub> and/or chromium 35 complex CrX<sub>3</sub>L to the activating alkylalumoxane is generally from 1:0.1 to 1:10 000, preferably from 1:1 to 1:1000.

Suitable solvents when using an oligomerization catalyst comprising CrX3, a ligand L and the alkylalumoxane, or comprising 40 CrX3L and the alkylalumoxane, are aprotic solvents, examples being the aliphatic or aromatic hydrocarbons cited earlier above as solvents, and especially toluene.

In another preferred embodiment of the process of the invention, 45 an oligomerization catalyst is used which comprises as activating additive at least one boron compound and at least one aluminum

alkyl, some of whose alkyl groups may have been replaced by halogen and/or alkoxy.

- Examples of suitable boron compounds are those containing 5 electron-withdrawing radicals (e.g. trispentafluorophenylborane, N,N-dimethylanilinium tetrakispentafluorophenylborate, tri-n-butylammonium tetrakispentafluorophenylborate, N,N-dimethylanilinium tetrakis(3,5-bisperfluoromethyl)-phenylborate, tri-n-butylammonium
- 10 tetrakis(3,5-bisperfluoromethyl)phenylborate, and tritylium tetrakispentafluorophenylborate). These activating additives are known from EP-A 468 537 and also EP-A 426 638. Preference is given to tritylium tetrakispentafluorophenylborate, trispentafluorophenylborane, and especially dimethylanilinium 15 tetrakis(pentafluorophenyl)borate.
- The amount of the activating boron compound used is dependent on its nature. The ratio of chromium compound CrX, and/or chromium
- complex CrX<sub>3</sub>L to the activating boron compound is generally from 20 1:0.1 to 1:10 000, preferably from 1:1 to 1:1 000.

Suitable aluminum alkyls some of whose alkyl groups may be replaced by halogen and/or alkoxy are the representatives of this class of substance cited on earlier on above, in the amounts pecified there relative to the chromium compound CrXy and/or the

25 specified there relative to the chromium compound CrX<sub>3</sub> and/or the chromium complex CrX<sub>3</sub>L.

Suitable solvent in this context are aprotic solvents, examples being the aliphatic or aromatic hydrocarbons cited earlier on 30 above as solvents, and especially toluene.

Preferred olefins for oligomerization by the process of the invention are straight-chain and branched  $\alpha$ -olefins having preferably from 2 to 10, in particular from 2 to 6 and especially

- 35 from 2 to 4, carbon atoms and mixtures thereof, and with very particular preference, in each case on its own: 1-propene, 1-butene, 1-hexene, 1-decene, and especially ethene, 1-butene, especially 1-butene in the mixture with its isomers such as is present, for instance, in the raffinate II.
  - Owing to the tendency of the aluminum compounds in particular and possibly the cocatalysts to hydrolyze, the oligomerization is generally to be carried out with very substantial exclusion of moisture. Techniques known per se can be employed for this
- 45 purpose. The oligomerization is preferably carried out under protective gas using baked-out apparatuses. Protective gases which can be used are all gases which are chemically inert under

the reaction conditions, advantageously nitrogen or argon. In addition, the  $\alpha$ -olefin to be reacted can itself assume the function of a protective gas as long as it has a sufficiently high vapor pressure under the reaction conditions.

The oligomerization is preferably carried out at from 1 to 120°C, in particular from 70 to 110°C, and preferably at a pressure in the range from 3 to 120 bar. The pressure is advantageously selected so that the reaction mixture is liquid at the

10 temperature set.

The process of the present invention can be carried out batchwise or continuously, with the continuous mode being preferred on an industrial scale.

15

Reactors suitable for carrying out the process of the present invention continuously are well known to those skilled in the art, for example from Ullmann's Enzyklopädie der technischen Chemie, Volume 1, 3rd edition, 1951, page 743 ff.; pressure-rated 20 reactors are also described there on page 769 ff.

The remaining boundary conditions of such oligomerization reactions are set by a person skilled in the art on the basis of his general technical knowledge, which he can, for example, 25 derive from DE-A 196 07 888.

The deactivation of the catalyst at the end of the reaction can be carried out using, in principle, a number of substances which all have the ability to hydrolyze aluminum alkyl compounds, for 30 example water and monoalcohols having from 1 to 10 carbon atoms. Mineral acids may also be added to these substances.

The products of the oligomerization of the present invention are advantageously purified by distillation. In this way, for 35 example, the reaction product from the ethene oligomerization can be fractionated to give not only the main (hexene) fraction consisting predominantly of 1-hexene but also a decene fraction and a tetradecene fraction. The latter two fractions consist predominantly of branched, internal olefins.

40

To achieve a high total conversion in the process of the present invention, unreacted starting material can be recovered and returned to the reaction. Preferred products of the process of the present invention are trimers of  $\alpha\text{-olefins}$ , especially of  $\alpha\text{-olefins}$  having from 2 to 6 carbon atoms, and particularly preferably the 1-hexene obtainable from etheme.

The oligomers obtainable with the process of the invention are especially suitable for preparing monoalcohols for plasticizers and surfactants. For this purpose the oligomers are appropriately subjected to hydroformylation, in the course of which mixtures of

10 the aldehydes and alcohols, chain-extended by one carbon atom, are formed, these mixtures being subsequently hydrogenated to give the desired alcohols. The implementation of the hydroformylation and hydrogenation is known per se to the skilled worker and therefore requires no further elucidation (cf., e.g., 15 Beller et al., Journal of Molecular Catalysis A 104 (1995) pages 17-85).

The following examples illustrate the invention.

20

25

30

35

40

Examples

A) Catalysts

Riedel de Haen AG.

- 5 2,5-Dimethylpyrrol, CrCl<sub>3</sub>(THF)<sub>3</sub>, 1,3,5-tribenzyl-1,3,5-triazacyclohexane and triethylaluminum were procured from Aldrich Chemical Company Ltd. and kieselguhr from
- 10 Preparation of the 1,3,5-triazacyclohexanes

Preparation of 1,3,5-tri-n-octyl-1,3,5-triazacyclohexane

- 100 g (0.774 mol) of n-octylamine were added in small portions to 15 a suspension of 20.2 g (0.673 mol) of paraformaldehyde in 500 ml of toluene which was cooled to 0°C. On subsequent heating of the mixture to the boiling point, the paraformaldehyde went into solution. Water and toluene were then distilled off. The residue was freed of volatile constituents at 1 mbar, taken up in 100 ml
- 20 of methanol and filtered through a 1 cm thick layer of silica gel. The volatile constituents of the filtrate were subsequently removed at 1 mbar, giving 82.3 g (83% yield) of the title compound as a viscous, clear liquid.
- 25 The following 1,3,5-triazacyclohexanes were prepared in an analogous manner:
  - 1,3,5-tri-n-dodecyl-1,3,5-triazacyclohexane
  - 1,3,5-tri-tert-butyl-1,3,5-triazacyclohexane
- 30 1,3,5-triethyl-1,3,5-triazacyclohexane
  - 1,3,5-tris(1-phenylethyl)-1,3,5-triazacyclohexane
  - 1,3,5-tris(1,1-dimethyldodecyl)-1,3,5-triazacyclohexane
  - 1,3-di-n-dodecyl-5-[2-(N,N-dimethylamino)ethyl]-1,3,5-triaza-cyclohexane
- 35 1,3,5-tris(2-ethylhexyl)-1,3,5-triazacyclohexane
  - 1,3,5-tris(2-n-propylheptyl)-1,3,5-triazacyclohexane

Preparation of the catalysts

- 40 Preparation of "chromium complex 1": [(1,3,5-tri-n-octyl-1,3,5triazacyclohexane)CrCl<sub>3</sub>]
  - 662 mg (1.768 mmol) of the tetrahydrofuran complex  $CrCl_3(THF)_3$  and 728 mg (1.855 mmol) of 1,3,5-tri-n-octyl-1,3,5-triazacyclohexane
- 45 (see above) were placed in a reaction flask at 25°C. At -78°C, 100 ml of diethyl ether which had been dried over sodium were condensed into this mixture. The suspension obtained in this way

was stirred for about 30 minutes at 25°C. It was then filtered through a frit and the filter residue was washed with diethyl ether until the washings were no longer green. The residue was then dried at 25°C and 1 mbar, giving 885 mg of the title compound 5 (98% yield).

```
Preparation of "chromium complex 2":
[(1,3,5-tribenzyl-1,3,5-triazacyclohexane)CrCl3]
```

- 10 10 ml of dry diethyl ether were placed under argon in a baked-out glass apparatus at 25°C. 749 mg (20 mmol) of the tetrahydrofuran complex CrCl<sub>3</sub>(THF)<sub>3</sub> were suspended therein, and a solution of 715 mg (20 mmol) of 1,3,5-tribenzyl-1,3,5-triazacyclohexane in 2 ml of diethyl ether were added dropwise to the mixture while
- 15 stirring. The mixture was subsequently stirred for another 30 minutes at 20°C and then filtered under argon through a frit. The filter residue was washed three times with 10 ml each time of diethyl ether and then dried at 25°C and 1 mbar. This gave 0.64 g of the title compound as a violet solid.

20

Preparation of the "chromium complexes 3 - 10"

Using a method analogous to the preparation of the complexes 1 and 2, the following complexes of chromium(III) chloride were

25 prepared:

```
"chromium complex 3":
[(1,3,5-tri-n-dodecyl-1,3,5-triazacyclohexane)CrCl3]
```

30 "chromium complex 4":

[(1,3,5-tri-tert-butyl-1,3,5-triazacyclohexane)CrCl3]

"chromium complex 5":

[(1,3,5-triethyl-1,3,5-triazacyclohexane)CrCl<sub>3</sub>]

"chromium complex 6":

[(1,3,5-tris(1-phenylethyl)-1,3,5-triazacyclohexane)CrCl<sub>3</sub>]

"chromium complex 7":

40 [(1,3,5-tris(1,1-dimethyldodecyl)-1,3,5-triazacyclohexane)CrCl<sub>3</sub>]

"chromium complex 8":

[(1,3-di-n-dodecyl-5-[2-(N,N-dimethylamino)ethyl]-1,3,5-triazacyclohexane)CrCl<sub>3</sub>]

```
"chromium complex 9"
[(1,3,5-tris(2-ethylhexyl)-1,3,5-triazacyclohexane)CrCl<sub>3</sub>]
```

"chromium complex 10"

- 5 [(1,3,5-tris(2-n-propylheptyl]-1,3,5-triazacyclohexane)CrCl3]
  - B) Oligomerizations

Example 1: Oligomerization of ethene in the presence of "complex 10 1" and 2,5-dimethylpyrrole

A steel autoclave having a capacity of 100 ml was baked out at 105°C in a stream of argon for 60 minutes. 14.5 mg of "chromium complex 1" were subsequently introduced at 25°C, followed by 25 ml

- 15 of n-heptane which had been dried over sodium and 0.5 ml of a solution of 143 mg of 2,5-dimethylpyrrole in 10 ml of n-heptane, corresponding to 0.075 mmol of 2,5-dimethylpyrrole. The autocleve was subsequently flushed three times with ethene at atmospheric pressure. 0.75 ml of a 1 molar solution of triethylaluminum in
- 20 n-heptane was then added, followed by pressurization with 25 bar of ethene. The temperature was subsequently increased to 80°C and the ethene pressure was increased to 40 bar. The contents of the autoclave were stirred for 2 hours under these conditions, after which the autoclave was cooled and vented. The catalyst was
- 25 deactivated by addition of 1 ml of water to the reaction mixture. The constituents insoluble in the reaction mixture were separated off, dried and weighed. The productivity was 18.6 kg per 1 g of chromium in the catalyst. The relative amounts of the products obtained were determined by gas chromatography using n-heptane as
- 30 internal standard:

hexenes 44.4% by weight decenes 33.1% by weight tetradecenes 10.9% by weight 35 "polymers" 1.5% by weight

Examples 2 to 11

Examples 2 to 11 were carried out using a method analogous to 40 Example 1. n-Butyl bromide (n-BuBr) and ethylaluminum dichloride (EADC) were used as 0.1M solutions in n-heptane. The starting materials, the associated amounts and the results of the experiments are shown in Table 1 below.

Table 1: Data on Examples 2 to 11

							1	.8		
Productivity [kg/g Cr]	27.4	44.8	33.5	21.1	16.4	19.3	28.0	24.8	75.3	106.1
Polym [% by weight]	7.3	9.7	9.1	2.6	8.8	4.4	4.9	10.5	2.2	0.3
C14 [% by weight]	6.5	4.7	6.3	7.3	5.3	7.0	6.0	7.0	6.2	2.1
C10 [% by weight]	27.5	21.7	25.0	24.4	21.1	23.9	23.5	23.0	20.7	14.3
C6 [% by weight]	56.1	62.1	53.5	59.4	63.3	55.6	61.7	48.3	68.1	87.8
n-Heptane [ml]	22	10	10	10	10	10	10	10	10	10
Cocat [µmol]	,	,		,	١			١.	n-BuBr 30	EADC 30
TEA [μmol]	750	300	300	300	300	300	300	300	300	300
DMP [µmol]	75	30	30	30	30	30	30	30	30	30
Cat [µmol]	25	10	10	10	10	10	10	10	10	10
Chromium complex CrX <sub>3</sub> L	2	2	3	4	5	9	7	8	2	2
Ex.	2	3	4	2	9	7	8	6	10	=

Abbreviations:

C14 C10 Amount of chromium complex CrX3L Amount of 2,5-dimethylpyrrole TEA Cat DMP

Amount of triethylaluminum Cocatalyst and amount Amount of n-heptane

> n-Heptan Cocat

Polym

Proportion of tetradecenes in the product Proportion of polymers in the product Proportion of decenes in the product Proportion of hexenes in the product

Example 12: Trimerization of 1-butene in the presence of "chromium complex 9" and methylaluminoxane and hydroformylation of the resulting trimer to the corresponding oxo alkohol

#### Trimerization a)

A steel autoclave of a volume of 2500 ml was baked out at 120°C under a stream of argon. At 25°C, 750 mg of "chromium complex 9", 10 and 500 g of toluene, dried over sodium, were charged to the autoclave which was then flushed three times with 1-butene. Thereafter, 50 g of a 1 M solution of methylalumoxane in toluene and, via an airlock, 500 g of 1-butene were introduced into the autoclave. The temperature in the autoclave was then raised to 15 40°C and the pressure was adjusted to 15 bar using nitrogen gas. After two hours of reaction under the conditions thus established, the autoclave was cooled and let down. The catalyst

- was deactivated by adding 2-propanol. Subsequent analysis by gas chromatography of the reactor discharge (800 g) found the only 20 oligomers present to be isomeric dodecenes. The dodecane mixture
- obtained by hydrogenation had an ISO index of 2.3.
  - bl) Hydroformylation in the presence of cobalt carbonyl
- 25 1.06 kg of the dodecene mixture prepared in accordance with section a) were hydroformylated with 4.0 q of CO2(CO)8 at 185°C and 280 bar of  $CO/H_2$  (1:1) with the addition of 100 g of water in a 2500 ml rotary stirred autoclave in 5 hours. The reaction discharge was subsequently decobalted oxidatively at 90°C using 30 10% strength acetic acid and with introduction of air. The oxo
- product thus obtained is hydrogenated over a fixed-bed Co/Mo catalyst in a 2500 ml tube reactor operated in downflow mode at 175°C and 280 bar of H2 with the addition of 10% by weight of water, based on the organic phase. The alcohol mixture produced
- 35 in this reaction was worked up by distillation and the tridecanol mixture thus isolated had an OH number of 279 mg KOH/g. A mean degree of branching of 2.7 was found by 1H-NMR spectroscopy.
- b2) Hydroformylation with rhodium biscarbonyl 40 acetylacetonate/polyethyleneimine
- 50 mg of rhodium biscarbonyl acetylacetonate, 4.5 g of a polyethyleneimine of molecular mass  $M_{\rm w}$  = 460000, in which 60% of all the nitrogen atoms had been amidated using lauric acid, 800 g 45 of the dodecene mixture prepared in accordance with section a)
- and 196 g of toluene were heated to 150°C in a 2500 ml stirred lift autoclave under  $CO/H_2$  (1:1). A pressure of 280 bar was set by

means of CO/H<sub>2</sub>. After 7 hours, the autoclave was cooled, let down and emptied. An olefin conversion of 93% was found by gas chromatography. The oxo product thus obtained was hydrogenated in a 2500 ml tube reactor operated in downflow mode over a fixed-bed 5 Co/Mo catalyst at 175°C and 280 bar of H<sub>2</sub> with the addition of 10% by weight of water, based on the organic phase. The alcohol mixture produced in this reaction was worked up by distillation and the resulting tridecanol mixture had an OH number of 279 mg KOH/g. A mean degree of branching of 2.9 was measured by <sup>1</sup>H-NMR 10 spectroscopy.

Examples 13-22: Trimerization in the presence of "chromium complex 9" and methylaluminoxane

15 a) Alternative preparation of "chromium complex 9"

15 ml of (+/-)-2-ethylhexylamine (92 mmol) were dissolved in 200 ml toluene, and 2.75 g of paraformaldehyde (92 mmol) were added. After the mixture had been stirred for one hour, the 20 toluene/water azeotrope was distilled off until a boiling point of 110°C had been reached. The mixture was then cooled in a stream of argon to below the boiling point, and 4.82 g of anhydrous CrCl<sub>3</sub> (31 mmol) were added. After again distilling off a few ml of toluene and cooling under the stream of argon, 0.5 g zinc powder 25 is added. On further distillative removal of toluene, the suspension turned into a deep violet solution. Toluene was distilled off for 30 minutes more and the residue was cooled to 25°C. After the zinc powder had settled out, the deep violet

- solution was decanted off in air and the solvent was removed on a 30 rotary evaporator. The violet, somewhat oily solid residue was dissolved in acetone and the solution was filtered. The resulting deep violet solution was admixed with water until the solution above the violet precipitate had only a slight violet coloration. After filtration, the violet residue was dried for 40 hours under
- 35 reduced pressure (approximately 1 Pa) at  $50^{\circ}\text{C}$  toward the end. 13.12 g (74%) of the violet "chromium complex 9" were obtained.
  - b) Implementation of the trimerizations
- 40 The trimerizations were conducted in a 1 l four-necked flask equipped with contact thermometer, stirrer, heating mantle and gas inlet tube, into which from 30 to 50 µmol of "chromium complex 9" in 250 ml of toluene were introduced under argon at 40°C.
- 45 Methylalumoxane ("MAO") was used in the form of a 1.6 M solution in toluene.

The boron compound used was dimethylanilinium tetrakis(pentaflourophenyl)borate ("DMAB"). Follwing its addition, the reaction mixture was heated to  $70^{\circ}\text{C}$ , then cooled to  $40^{\circ}\text{C}$  and admixed with triisobutylaluminum ("TIBAL").

5 From 20 to 40 1/h of ethene or 1-butene were passed through the pale green/yellow solution obtained following the addition of MAO

pale green/yellow solution obtained following the addition of MA( or DMAB/TIBAL, or the corresponding amount of 1-hexene was added dropwise.

10

In the course of the experiment, the temperature was held constant at temperature T for the reaction time t. The reaction was ended by adding 15 ml of concentrated hydrochloric acid in 50 ml of methanol and the reaction mixture was subsequently stirred for 15 min. Then 250 ml of methanol were added and stirring was continued for 15 mins more. Following filtration to remove any insoluble polymer forms, the product was washed three times with

water and dried over sodium sulfate. Yield and product distribution were determined by gas chromatography from the

20 solution thus obtained. Further reactant data and the results of the experiments are given in Table 2.

25

30

35

40

Table 2: Data for Examples 13-22

							22				_	
ų		[mim]	09	09	09	09	06		09	09	09	09
DMP3)		[hmol]	1	1	1	,	123	09	1	1	1	_
Monomer			C <sub>2</sub> H <sub>4</sub> +5 ml 1-hexene	C2H4 <sup>4</sup>	C2H44	C2H4 <sup>4</sup>	500 ml 1-hexene	500 ml 1-hexene	500 ml 1-hexene	1-butene4	1-butene4	1-butene4
H		[00]	40	40	40	40	40	40	40	40	40	20
Atom	B1);Cr		1		2.2:1	1	2:1	2:1	-	-	10:1	-
Atom	Al:Cr		300:1	350:1	50:	350:1	50:12)	50:1	300:1	350:1	50:1	300:1
Amount of chromium complex 9		(µmol)	33.6	32.6	51.9	43.8	40.9	35.1	36.4	45.7	38.1	31.6
Amount c		[ bm]	16.6	19.0	30.2	25.5	23.8	20.4	21.2	26.6	22.2	18.4
Ex.			13	14	15	16	17	18	19	20	21	22

TOBUCO STANDACT

Table 2 continued: Data for Examples 13-22

										_			
	Total	962	209	808	205	84	29.1	75.8	43.1	29	37.65		
pesn	$c_{18}$	ı	1	-	-	84	29.1	75.8					
catalyst Cr*h)]	C14	91	71	48	89	1	ı	1	43.1	29	37.65		
Activity of the catalyst used [kg/(mol Cr*h)]	C10	488	307	149	286	1	1	1					
Activit	Ce	387	213	94	136	1	1						
	Polymer	1	16	17	12.8	-	1	,	1	1	,		
	C18		1	1	-	3.47	1.02	2.7					
Products [g]	C14	3.08	2.3	2.5	2.99	-			80	2.58	19		
Produc [9]	C <sub>10</sub>	16.5	10	7.78	12.5	-	-		2.08	2.0	2.	2.	1.19
	°2	13.0	6.9	4.9	5.96	-	-						
Polymer	[6]	,	0.52	6.0	0.56	-	'	,	-	,	1		
Ex.		13	14	15	16	17	18	19	20	21	22		

1 Activation is by addition of DMAB and TIBAL

Triethylaluminum was used instead of TIBAL

2,5-Dimethylpyrrole

4 Gas was passed through

Examples 23 to 26: Trimerization in the presence of "chromium complex 10" and methylalumoxane

24

5 a) Alternative preparation of "chromium complex 10"

The preparation took place in analogy to the alternative preparation of chromium complex 9 in accordance with Examples 13-22.

10

b) Implementation of the trimerizations

The trimerizations of Examples 23-25 were carried out in analogy to Examples 13-22 in toluene as the solvent.

15

The reaction of Example 26, on the other hand, took place in a 1000 ml autoclave under a pressure of 40 bar, again in toluene.

The further reactant data and the results of the experiments are  ${f 20}$  given in Table 3.

25

30

35

Table 3: Data for Examples 23-26

			_			_
ىد		[min]	. 09	09	30	09
DMP3)		[µmo1]	1	127.5	1	1
Monomer			C2H44)	1-butene4)	ethene	200 ml 1-butene
Đ		[00]	40	40	50	40
Atom ratio	B1);Cr		1	5.3:1	ı	1
Atom	Al:Cr		300:1	50 : 15)	500:1	300:1
Amount of chromium complex 10		(µmo1)	29.3	42.5	18.2	83
Amount c		[bw]	19.5	28.3	12.1	55
Ex.			23	24	25	97

				_	
Tota1	770	54.3	945	28.1	
C18	5.5		0	2.	
C14	70	54,3	0	C12: 25.	
C10	372	C12:	215	C10: 2.9, C12: 25.2	
°C <sub>6</sub>	308		730	C	
Polymer	14.7	ı	3.8	,	
C <sub>18</sub>	0.16		1	6	
C14	2.06	2.31	,	C12: 2.0	
C10	10.9	C12:	1.96	C10: 0.24, C12: 2.09	
°2	9.02		6.63	Ö	
[6]	0.43		0.07		
	23	24	25	26	
	C <sub>6</sub> C <sub>10</sub> C <sub>14</sub> C <sub>18</sub> Polymer C <sub>6</sub> C <sub>10</sub> C <sub>14</sub> C <sub>18</sub>	[9] C <sub>6</sub> C <sub>10</sub> C <sub>14</sub> C <sub>18</sub> Polymer C <sub>6</sub> C <sub>10</sub> C <sub>14</sub> C <sub>18</sub> C <sub>10</sub> 0.43 9.02 10.9 2.06 0.16 14.7 308 372 70 5.5	[9]         C <sub>6</sub> C <sub>10</sub> C <sub>18</sub> C <sub>18</sub> Polymer         C <sub>6</sub> C <sub>10</sub> C <sub>14</sub> C <sub>18</sub> 0.43         9.02         10.9         2.06         0.16         14.7         308         372         70         5.5           -         C <sub>12</sub> : 2.31         -         C <sub>12</sub> : 54.3         -         -         -         -	[9]         C <sub>6</sub> C <sub>10</sub> C <sub>18</sub> C <sub>18</sub> Polymer         C <sub>6</sub> C <sub>10</sub> C <sub>14</sub> C <sub>18</sub> C <sub>19</sub> C <sub>14</sub> C <sub>19</sub> C <sub>14</sub> C <sub>19</sub> C	

Activation takes place by addition of DMAB and TIBAL

Triethylaluminum was used instead of TIBAL

2,5-Dimethylpyrrole

Gas was passed through Use of triethylaluminum We claim

5

10

15

20

25

30

35

40

- 1. An oligomerization catalyst for olefins, obtainable from
  - a) a chromium compound CrX<sub>3</sub> and the at least equimolar amount, based on the chromium compound CrX<sub>3</sub>, of a ligand L or from an existing chromium complex CrX<sub>3</sub>L, in which the groups X are, independently of one another, abstractable counterions and L is a 1,3,5-triazacyclohexane of the formula I

where the groups R<sup>1</sup> to R<sup>9</sup> are, independently of one another: hydrogen or organosilicon or substituted or unsubstituted carboorganic groups having from 1 to 30 carbon atoms, where two geminal or vicinal radicals R<sup>1</sup> to R<sup>9</sup> may also be joined to form a five- or six-membered ring, and

- b) at least one activating additive from the group:
  - an unsubstituted or substituted five-membered aromatic N-heterocycle and at least one aluminum alkyl, some of whose alkyl groups may have been replaced by halogen and/or alkoxy,
  - ii) an alkylalumoxane.
- 2. An oligomerization catalyst as claimed in claim 1, wherein the groups  $R^1$ ,  $R^2$  and  $R^3$  in the 1,3,5-triazacyclohexane I are, independently of one another, substituted or unsubstituted  $C_1-C_{12}-alkyl$ ,  $C_6-C_{15}-aryl$  or  $C_7-C_8-arylalkyl$ .
- An oligomerization catalyst as claimed in claim 1, wherein the groups R<sup>1</sup>, R<sup>2</sup> and R<sup>3</sup> in the 1,3,5-triazacyclohexane I are, independently of one another, substituted or unsubstituted C<sub>1</sub>-C<sub>12</sub>-alkyl or C<sub>7</sub>-C<sub>8</sub>-arylalkyl.

- 4. An oligomerization catalyst as claimed in any of claims 1 to 3, wherein the groups  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  in the 1,3,5-triazacyclohexane I are, independently of one another, hydrogen or methyl.
- 5
  5. [(1,3,5-Tris(2-n-propylheptyl)-1,3,5-triazacyclohexane)CrCl<sub>3</sub>]
  - 6. [(1,3,5-Tris(2-ethylhexyl)-1,3,5-triazacyclohexane)CrCl<sub>3</sub>]
- 10 7. A process for preparing oligomers having up to 30 carbon atoms by reaction of an olefin or a mixture of olefins at from 0 to 150°C and pressures of from 1 to 200 bar in the presence of an oligomerization catalyst as claimed in any of claims 1 to 6.

20

25

30

35

40

Oligomerization catalyst

Abstract

5

An oligomerization catalyst for olefins is obtainable from

a) a chromium compound CrX<sub>3</sub> and the at least equimolar amount, based on the chromium compound CrX<sub>3</sub>, of a ligand L or from an existing chromium complex CrX<sub>3</sub>L, in which the groups X are, independently of one another, abstractable counterions and L is a 1,3,5-triazacyclohexane of the formula I

15

I

20

25

where the groups  $R^1$  to  $R^9$  are, independently of one another: hydrogen or organosilicon or substituted or unsubstituted carboorganic groups having from 1 to 30 carbon atoms, where two geminal or vicinal radicals  $R^1$  to  $R^9$  may also be joined to form a five- or six-membered ring, and

b) at least one activating additive

and also a process for preparing oligomers of olefins using these 30 catalysts, the oligomers thus obtainable, and the oxo alcohols obtainable from these oligomers.

35

40

# Declaration, Power of Attorney

Page 1 of 4

0050/050721

We (I), the undersigned inventor(s), hereby declare(s) that:

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Oligomerization catalyst

[X]	is attached	i hereto.	
[]	was filed o	n	as
	Application	on Serial No.	
	and amend	ded on	
[x]	was filed a	as PCT international application	
	Number	PCT/EP00/02660	
	on	March 25, 2000	
	and was a	mended under PCT Article 19	
			(if amuliaabla)

We (I) hereby state that we (I) have reviewed and understand the contents of the above—identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119(a)—(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s)

Application No.	Country	Day/Month/Year	Priority Claimed
19943544.8	Germany	11 September 1999	[x] Yes [] No
09/277823	Germany	29 März 1999	[x] Yes [] No
19922048.4	Germany	14 Mai 1999	[x] Yes [] No

Status (nonding natouted

We (I) hereby claim the benefit under Title 35, United States Codes, § 119(e) of any United States provisional application(s) listed below.

(Application Number)	(Filing Date)
(Application Number)	(Filing Date)

We (I) hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	abandoned)

And we (I) hereby appoint Messrs. HERBERT. B. KEIL, Registration Number 18,967; and RUSSEL E. WEINKAUF, Registration Number 18,495; the address of both being Messrs. Keil & Weinkauf, 1101 Connecticut Ave., N.W., Washington, D.C. 20036 (telephone 202-659-0100), our attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to sign the drawings, to receive the patent, and to transact all business in the Patent Office connected therewith.



We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Page 3 of 4 0050/050721

Heiko Maas NAME OF INVENTOR

Ulus Signature of Inventor

Anton-Bruckner-Str. 10 67105 Schifferstadt Germany Citizen of: Germany

Post Office Address: same as residence

Post Office Address: same as residence

Date April 13, 2000

Shahram Mihan\_ NAME OF INVENTOR

Rossinistr, 12 67061 Ludwigshafen Germany Citizen of: Iran

DEX

Signature of Inventor

Date April 13, 2000

Randolf Köhn NAME OF INVENTOR

Signature of Inventor

254 Bloomfield Road Bath BA2 2AZ Great Britain

Citizen of: Germany Post Office Address: same as residence 3-00

Date April 13, 2000

Guido Seifert NAME OF INVENTOR

Signature of Inventor

April 13, 2000

Straße des 17. Juni 135 10623 Berlin

Germany Citizen of: Germany

Post Office Address: same as residence

Page 4 of 4

0050/050721

Jürgen Tropsch NAME OF INVENTOR

Date April 13, 2000

Im oberen Berg 81
67354 Römerherg
Germany
Citizen of: Germany
Post Office Address: same as residence